Docket No. RD-28,694

0420 694 #V

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Marc (NMN) Schaepkens et al.		Group Art Uni
, ,		•	•

Serial No.: 10/064.888 Examiner:

Filed: August 27, 2002

For APPARATUS AND METHOD FOR PLASMA TREATING AN ARTICLE



INFORMATION DISCLOSURE STATEMENT

X	1	Pursuant to 37CFR 1.97(b)
		[within 3 months of national, non-CPA filling or prior to 1st Office Action] no charge
	2	Certification Pursuant to 37 CFR 1.97(c)(1)
		[before Final Office Action, Allowance, or other action closing prosecution] no charge
	3	Fee Payment Pursuant to 37 CFR 1.97(c)(2) [before Final Office Action, Allowance, or other action closing prosecution] \$180.00
	4	Certification & Fee Payment Pursuant to 37 CFR 1.97(d)
		[On or before issue fee payment] \$180.06
A.TT	N:	BOX PATENT APPLICATION

ATTN: BOX PATENT APPLICATION Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The following are submitted in the above application in compliance with 37 CFR 1.97 and 37 CFR 1.98:

5 A list of documents on form PTO-1449 or Substitute together with copies of each identified document and a translation thereof or a concise explanation of each non-English language document or a Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date indicated below.
Date of Deposit
Type or Print Name
Signature

7

This paper is submitted i	n accordance with:	
	` , •	of national, non-CPA filing or prior to 1st Office
	FR 1.97(c): [before Final Offing prosecution, whichever is	ice Action, Allowance, or other action earlier]; and
□ 8	The required Certification ma	ade in item 11 below; or
<u> </u>		n 37 CFR 1.17(p) for submission of Statement is authorized in item 15 below.
☐ 10 37 C	FR 1.97(d): [on or before issu	ue fee payment]; and
a)	The required Certification is	stated in item 11 below; and
b)		n 37 CFR 1.17(p) for submission of Statement is authorized in item 14 below.
☐ 11 Certi	fication	
<u> </u>	any communication from	ontained in this Statement was first cited in a foreign patent office in a counterpart not more than three months prior to the
from a foreign patent of to the knowledge of the reasonable inquiry, no Statement was known		statement was cited in a communication in a counterpart foreign application and, rson signing this document after making em of information contained in this any individual designated in 37 CFR months prior to the filing date of this
🔀 14 Payı	ment of all applicable fees:	
⊠ 1		le fees associated with the submittal of Statement to Deposit Account No.
An original and two (2) o	opies of this document are en	closed.
		Respectfully submitted,
August 2		Nobst Hantande
General Electric Company CRD Pat nt D cket Rm 4A P.O. Box 8, Bldg. K-1 Schenectady, New York 1 Customer Number: 00614	, A59 2301	Attorney - Robert P. Santandrea Registration No. 45,072 Phone: (518) 387-6304 or (518) 387-7122

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FORM PTO-1449	0,31		and oil	US. DEPARTMENT OF COMMERCE	ATTY. DOCKET NO.		SERIAL NO.
(REV. 7-80)			3.00	PATENT AND TRADEMARK OFFICE		594	10/064,888
INFORMATION DISCUSSIONS LIST OF		HRESTAT T OF ITEM			Applicant Marc (NMN) Schaepkens et al.		
<u>DIST OF ITDIVIO</u>			Filing Date 8/27/02		Group		
				U.S. PATENT DOCUMENTS			
*EXAMINER	9		T			12 21	FILING DATE
INITIAL		OCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	IF APPROPRIATE
	A1	6,110,544	8/29/00	Yang et al.			
	A2	6,261,694	7/17/01	Iacovangelo			
	A3	6,376,064	4/23/02	Gasworth et al.			•
	A4	6,397,776	6/4/02	Yang et al.			
	A5	_6,420,032	7/16/02	Iacovangelo		00	
	A6	6,426,125	7/30/02	Yang et al.			
			FO	REIGN PATENT DOCUMENTS	:		
	F T	DOCUMENT	Ĭ		1		TRANSLATION
·		NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES NO
	B1		ļ				
	B2	1	1		<u> L</u>		<u> </u>
		OTHER I	NFORMATI	ON (Including Author, Title, Date, F	ertinent Pa	nges, etc.)	
C1	1	Application RD-	25,955, Seria	l No. 09/271,656, filed March 17, 19	99.		<u> </u>
C2		Application RD-	28,484, Seria	I No. 09/683,148, filed November 27	7, 2001.		
C3							
C4							
C5							
C6	·						
C7							
C8							
EXAMINER			DATE CONSIDERED				
EXAMINER: 1	Initial i	f reference cons	idered, wheth	er or not citation is in conformance v	l vith MPEP	609; Draw line	through citation if
ot in conforma	ince an	d not considered	i. Include co	by of this form with next communicate	tion to app	licant	